DOH ASSURANCES AND COOPERATIVE AGREEMENT

The Santa Fe County/City DWI Program referred to as the "Program" and the New Mexico Department of Health (DOH), Epidemiology and Response Division hereby receives the following assurances and enters into the following cooperative agreement, to carry out the requirements of the evaluation MOU between DOH and the Department of Finance and Administration:

The DOH:

- 1. Acknowledges that it is in full compliance with the provisions concerning research activities in in accordance with Federal confidentiality regulations, 42 CFR 2.16 and 2.52, including:
 - a. That a research protocol is maintained in accordance with the security requirements of 42 CFR 2.16; and
 - That client identifying information will not be re-disclosed except back to the Program from which the information was obtained; and no individual client will be identified in any report resulting from any epidemiologic research; and
 - c. That the Epidemiology and Response Division has provided a satisfactory written statement that a group of three or more individuals who are independent of the research project has reviewed the protocol and determined that:
 - (i) The rights and welfare of clients will be adequately protected; and
 - (ii) The risks in disclosing client identifying information are outweighed by the potential benefits of the research.
- 2. Acknowledges that in receiving, storing, processing, or otherwise dealing with any information from the Program about the clients in the Program, the Epidemiology and Response Division is fully bound by the provisions of the Federal confidentiality regulations, 42 CFR Part 2: and
- Resists any effort to obtain access to information pertaining to patients otherwise than as expressly provided for in the Federal confidentiality regulations, 42 CFR Part 2.

The Program:

 Agrees to allow the Epidemiology and Response Division access to client records from the web based client screening and tracking system for those clients provided services through the Local Government Division DWI Grant Program, for the purpose of conducting outcome monitoring research activities.

This agreement will become effective on July 1, 2018. This agreement will expire on June 30, 2019.

Kather ine Miller Counter Manager

County Manager or other authorized official (Please Print)

Approved as to form Sagra Fe County Attor

Michael Landen, MD, MPH, State Epidemiologist and

Director Epidemiology and Response Division

New Mexico Department of Health

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