

**SANTA FE COUNTY
AMENDMENT NO. 3
TO THE AGREEMENT WITH RBC CAPITAL MARKETS, LLC. FOR
FINANCIAL ADVISOR SERVICES**

This Amendment is made and entered into as of this 29 day of November, 2016 by and between **Santa Fe County**, hereinafter referred to as "the County", a New Mexico political subdivision, and **RBC Capital Markets, LLC**, hereinafter referred to as "the Contractor".

WHEREAS, in accordance with Section 13-1-112 NMSA 1978, and 13-1-117 NMSA 1978, competitive sealed proposals were solicited through Request for Proposal (RFP) No. 2014-0011-FI/IC for the provision of financial advisor services; and

WHEREAS, the County and Contractor entered into Agreement No. 2014-0011-FI/IC on December 6, 2013 to provide the Contractor's provision of financial advisor services; and

WHEREAS, Amendment Nos. 1 and 2 extended the term of the Agreement to December 10, 2016; and

WHEREAS, the term of Agreement 2014-0011-FI/IC is due to expire December 10, 2016 and the County wishes to extend the term for an additional year;

WHEREAS, Article 15, "NO ORAL MODIFICATIONS; WRITTEN AMENDMENTS REQUIRED," of Agreement No. 2014-0011-FI/IC allows parties to amend the Agreement by an instrument in writing executed by both parties; and

WHEREAS, by this Amendment No. 3, the County wishes to extend the term of the Agreement from December 10, 2016 to December 10, 2017.

NOW, THEREFORE, in consideration of the mutual covenants and conditions contained herein, the parties hereto mutually agree as follows:

1. Article 3. (Effective Date and Term) a new subparagraph (c) is inserted to read as follows:

c) By Amendment No. 3, the County notifies Contractor and exercised the County's second option to extend the term of this Agreement for one year term from December 10, 2016 to December 10, 2017, on the same terms and conditions as stated herein.

2. All other provisions of Agreement No. 2014-0011-FI/IC not specifically amended or modified by Amendment No. 1, Amendment No. 2 or this Amendment No. 3, shall remain in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first written above.

SANTA FE COUNTY:

Katherine Miller

Katherine Miller
Santa Fe County Manager

12.1.16
Date

APPROVED AS TO FORM

Gregory S. Shaffer

Gregory S. Shaffer
Santa Fe County Attorney

11-13-16
Date

FINANCE DEPARTMENT

Don D. Moya

Don D. Moya
Interim Finance Director

11-17-16
Date

CONTRACTOR:

Erik B. Harrigan
(Signature)

11/29/16
Date

Erik B. Harrigan
(Print Name)

Director
(Print Title)